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BOX PATENT APPLICATION
Assistant Commissioner for Patents
Washington, D.C. 20231

Date: February 25, 2000
Atty Docket No: 101328-148

jc511 U.S. PTO
09/512942
02/25/00

Sir:

Transmitted herewith for filing is the patent application of:

Inventor(s): Theodore H. Fedynyshyn
Entitled: ENCAPSULATED INORGANIC RESISTS

Enclosed are:

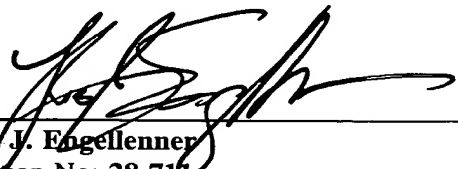
- ☒ Patent application (including 53 sheets of Specification; 3 sheets of Claims (Claims #1-17);
1 sheet of Abstract; and 6 sheets of ☒ informal ☐ formal drawings (one set) (FIGS 1-6));
- ☒ Title Sheet for Patent Application;
- ☒ Declaration and Power of Attorney (☒ executed ☐ unexecuted);
- ☒ Verified Statement Claiming Small Entity Status (☒ executed ☐ unexecuted);
- ☐ Information Disclosure Statement (w/Form PTO-1449 and copies of referenced documents);
- ☒ Assignment (w/Recordation Cover Sheet) of the invention to Massachusetts Institute of Technology will follow shortly;
- ☐ Check in the amount of \$40.00 representing Assignment Recordal Fee;
- ☒ Check in the amount of \$ 345.00 representing filing fee based on small entity status;
☐ large entity status ☒ small entity status;
- ☒ Bibliographic Data Sheet; and
- ☒ Postcard receipt.

| CLAIMS FILED: | MINUS BASE: | EQUALS EXTRA CLAIMS: | RATE: | BASIC FEE |
|--|----------------|-------------------------|------------|-------------|
| Total: 17 | 20 | 0 | x \$ 18.00 | \$ 0 |
| Independent: 1 | 3 | 0 | x \$ 78.00 | \$ 0 |
| Multiple Dependent Claims (1st presentation) | | | \$260.00 | \$ 0 |
| SUBTOTAL FILING FEE | | | | \$ 690.00 |
| Small Entity filing, divide by 1/2 (Note: Verified Statement must be attached) | | | | \$ (345.00) |
| TOTAL FILING FEE | | | | \$ 345.00 |

- ☒ The Commissioner is hereby authorized to charge payment of any additional filing fees under §1.16 associated with this communication or credit any overpayment to Deposit Account No. 141449.

SUBMIT IN DUPLICATE

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Theodore H. Fedynyshyn **ATTORNEY**
DOCKET NO: 101328-148
APPLICATION NO: N/A **EXAMINER:** N/A
FILED HEREWITH **GROUP NO:** N/A
ENTITLED: ENCAPSULATED INORGANIC RESISTS

STATEMENT CLAIMING SMALL ENTITY STATUS

Assistant Commissioner for Patents
 Washington, D.C. 20231

Sir:

THE UNDERSIGNED STATES:

Exclusive rights in the above-identified invention reside in the "small entity(ies)" defined and named below, and "small entity" fees are appropriate. Qualification as a small entity is based upon the statement(s) below:

NONPROFIT ORGANIZATION (Check additional applicable box)

The below-identified nonprofit organization qualifies as a small entity under 37 C.F.R. § 1.9(e) in that it constitutes:

1. ☒ a university or other institution of higher education located in any country; or
2. ☐ an organization of the type described in Section 501(c)(3) of the Internal Revenue Code of 1954 (26 U.S.C. § 501(c)(3)) and exempt from taxation under Section 501(a) of the Internal Revenue Code (26 U.S.C. § 501(a)); or
3. ☐ any nonprofit scientific or educational organization qualified under a nonprofit organization statute of a state of the United States (35 U.S.C. § 201(i)); or
4. ☐ any nonprofit organization located in a foreign country which would qualify as a nonprofit organization under paragraphs (e)(2) or (3) of Rule 1.9 if it were located in the United States.

The undersigned acknowledge(s) the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate (37 C.F.R. § 11.28(b)).

The below-signing individual(s) hereby declare(s) that (he, she, they) are authorized to execute this statement on behalf of the small entity; that all statements made herein of (his, her, their) own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issue thereon, or any patent to which this verified statement is directed.

Name of Small Entity:

Massachusetts Institute of Technology

Address of Small Entity:

77 Massachusetts Avenue, Cambridge, MA 02139

Name of Person Signing:

Jarmila Z. Hrbek

Title of Person Signing:

Patent Administrator and Office Manager

Signature: (Please sign and date in permanent blue ink.)

Date signed:

X 
831589

X Feb 24, 2000